Texas Note  Texas  Department  of State  Health  Services	Appendi: Format F CMS.	owing document includes definitions, specifications and guidance as provided by the National Quality Forum (NQF), ix A and B; the Agency for Healthcare Research and Quality (AHRQ) Common Formats Users Guide; the AHRQ Common Forms; and the diagnosis codes that have been identified on the FY 2013 Final Healthcare Acquired Condition (HAC) In the Second Provided Healthcare Acquired Condition (HAC) In the Second Healthcare Event program agrees with the following definitions and explanations unless otherwise not the second Healthcare Acquired Common Commo	List by
TABLE OF COI	NTENTS	PREVENTABLE ADVERSE EVENTS	PAGE
		(1a) Surgery or invasive procedure involving wrong procedure	2
		(1b) Surgery or invasive procedure involving a surgery on the wrong site	4
		(1c) Surgery or invasive procedure involving a surgery on the wrong patient	6
		(2) Foreign object retained after surgery	7
		(3) Post-operative death of an ASA Class 1 Patient	8
		(4) Discharge or release of a patient of any age, who is unable to make decisions, to someone other than an	
		authorized person	9
		(5)Any incident in which systems designated for oxygen or other gas to be delivered to a patient contains no gas,	
		wrong gas, or are contaminated by toxic substances	9
		(6) Abduction of a patient of any age	10
		(7) Sexual abuse or assault of a patient within or on the grounds of a health care facility	11
		(8) Patient death or severe harm of a patient resulting from a physical assault that occurs within or on the grounds	
		of a health care facility	11
		(9) Patient death or severe harm associated with a fall in a health care facility resulting in a fracture, dislocation,	
		intracranial injury, crushing injury, burn or other injury	11
		(10) Patient death or severe harm associated with unsafe administration of blood or blood products	12
		(11) Patient death or severe harm resulting from the irretrievable loss of an irreplaceable biological specimen	13
		(12) Patient death or severe harm resulting from failure to follow up or communicate laboratory, pathology or	
		radiology test results	13
		(13) Patient death or severe harm associated with use of physical restraints or bedrails while being cared for in a	
		health care facility	14
		(14) Perinatal death or severe harm (maternal or neonatal) associated with labor or delivery in a low-risk	
		pregnancy while being cared for in a health care facility	14
		ADDITIONAL DEFINITIONS	16
		References	25

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
	Appendix ASpecifications of the Serious	Appendix BGlossary	Common Formats <sup>3</sup> (CF) or	Diagnosis
	Reportable Events In Healthcare—2011	Specifications of the Serious	Appendix 2 Glossary AHRQ	Codes as
	Update <sup>1</sup>	Reportable Events In Healthcare—2011	Users Guide 1.2 – 2013 <sup>4</sup>	Identified by_
		Update <sup>2</sup>		CMS for HACs <sup>5</sup>
PREVENTABLE	Definitions of key terms are included in	The following terms are defined as they		
ADVERSE	the Glossary (Appendix B) and, where the	apply to the NQF list of serious		
EVENT	terms are used in the event description or	reportable events. To the extent		
REPORTING	additional specifications are considered	practicable, they have been harmonized		
EFFECTIVE	part of the specifications of the events.	with definitions used in other NQF		
JANUARY 1,		safety-related products, the Agency for		
2015.	Implementation Guidance is not proposed	Healthcare Research and Quality's		
	for endorsement. It amplifies statements	Common Formats, and the World		
	in the Event and Additional Specifications,	Health Organization's evolving		
	which are proposed for endorsement, with	International Classification for Patient		
	examples and explanations based on	Safety. The Common Formats are a		
	experience of those organizations /	product of the requirements of the		
	entities that have implemented event	Patient Safety and Quality Improvement		
	reporting as well as recommendations of	Act of 2005 that provides a structure for		
	the NQF Serious Reportable Events	reporting adverse events, while the		
	Steering Committee. It does not purport	latter provides structure for classifying		
	to be either comprehensive or even across	such events.		
	the events and is not a requirement of			
	either.			
(1a)Surgery or	WRONG PROCEDURE:	Informed Consent involves a process of		
invasive	Additional Specifications: Defined as any	shared decision making in which		
procedure	surgery or other invasive procedure	discussion between a person who would		
involving	performed on a body part or site that is	receive a treatment, including surgery		
wrong	not consistent with the correctly	or invasive procedure, and the		
procedure.	documented informed consent for that	caregiver/professional person who		
This event must	patient.	explains the treatment, provides		
be reported		information about possible benefits,		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
regardless of	Surgery or other invasive procedure	risks and alternatives, and answers		
level of harm	includes, but is not limited to,	questions that result in the person's		
assessed.	endoscopies, lens implants, lesion	authorization or agreement to undergo		
	removal, injection into joints.	a specific medical intervention.		
		Documentation of this discussion should		
	Excludes emergent situations that occur in	result in an accurate and meaningful		
	the course of surgery or other invasive	entry in the patient record, which could		
	procedures and/or whose exigency	include a signed "consent form".		
	precludes obtaining informed consent.	Signing a consent form does not		
		constitute informed consent; it provides		
	Implementation Guidance: It should be	a record of the discussion.		
	noted that a correctly documented	Surgery is an invasive operative		
	informed consent for patients whose	procedure in which skin or mucous		
	procedures will not be carried out in an	membranes and connective tissue is		
	operating room may not involve a	incised or the procedure is carried out		
	"surgical consent form"; however, it does	using an instrument that is introduced		
	require informed consent be documented	through a natural body orifice. It		
	in the patient record.	includes minimally invasive procedures		
		involving biopsies or placement of		
	This event is intended to capture:	probes or catheters requiring the entry		
	<ul> <li>insertion of the wrong medical</li> </ul>	into a body cavity through a needle or		
	implant into the correct surgical	trocar.		
	site.	Surgeries include a range of procedures		
		from minimally invasive dermatological		
	This event is <u>not</u> intended to capture:	procedures (biopsy, excision, and deep		
	<ul> <li>changes in plan upon entry into</li> </ul>	cryotherapy for malignant lesions) to		
	the patient with discovery of	vaginal birth or Caesarian delivery to		
	pathology in close proximity to the	_		
	intended place where risk of a	extensive multi-organ transplantation. It does not include use of such things as		
	second surgery/ procedure			
	outweighs benefit of patient	otoscopes and drawing blood.		
	consultation, or unusual physical			
	configuration (for example	Organizations may choose to adopt a		
		list of surgical procedures to supplement		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
	adhesions, spine level/extra vertebrae)	the definition above; one example of such a list in common use is that of the Institute of Clinical Systems Improvement.		
(1b) Surgery or invasive procedure involving a surgery on the wrong site. This event must be reported regardless of level of harm assessed.	Additional Specifications: Defined as any surgery or other invasive procedure performed on a body part or site that is not consistent with the correctly documented informed consent for that patient.  Surgery or other invasive procedure includes, but is not limited to, endoscopies, lens implants, lesion removal, injection into joints.  Excludes emergent situations that occur in the course of surgery or other invasive procedure and/or whose exigency precludes obtaining informed consent.  Implementation Guidance: It should be noted that a correctly documented informed consent for patients whose procedures will not be carried out in an operating room may not involve a "surgical consent form"; however, it does require informed consent be documented in the patient record.  Although an incorrectly placed surgical			
	mark could result in surgery being			

NQF Appendix A	NQF Appendix B	AHRQ	CMS
performed on the wrong body part,			
surgery does not begin at time the surgical			
mark is made on the patient. Placing a			
marked on the wrong body part or site			
does not in itself constitute wrong site			
surgery. Wrong site surgery or invasive			
procedure, corrected during the			
procedure, is still a wrong site procedure if			
the surgery/procedure had begun, based			
on the definition in glossary.			
This event in intended to capture instances			
of:			
<ul> <li>Surgery or other invasive</li> </ul>			
procedure on the right body part			
but on the wrong locations/site on			
the body; e.g., left/right			
(appendages/organs), wrong digit,			
level (spine), stent placed in wrong			
iliac artery, steroid injection into			
the wrong knee, biopsy of wrong			
mole, burr hole on wrong side of			
skull:			
Delivery of fluoroscopy or			
radiotherapy to the wrong region			
of the body;			
<ul> <li>Use of incorrectly placed vascular</li> </ul>			
catheters:			
<ul> <li>Use of incorrectly placed tubes</li> </ul>			
(for example, feeding tubes place			
in the lung or ventilation tubes			
passed into the esophagus).			

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
	This event is NOT intend to capture:  • Changes in plan upon entry into the patient with discovery of pathology in close proximity to the intended place where risk of a second surgery or procedure outweighs benefit of patient consultation, or unusual physical configuration (for example adhesion, spine level/extra vertebrae).			
(1c) Surgery or invasive procedure involving a surgery on the wrong patient. This event must be reported regardless of level of harm assessed.	WRONG PATIENT:  Additional Specifications: Defined as any surgical or other invasive procedure performed on a patient that is not consistent with the correctly documented informed consent for that patient.  Surgery or other invasive procedure includes, but is not limited to, endoscopies, lens implants, lesion removal, injection into joints.  Implementation Guidance: It should be noted that a correctly documented informed consent for patients whose procedures will not be carried out in an operating room may not involve a "surgical consent form"; however, it does require informed consent be documented in the patient record.			

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
	This event is intended to capture:  • surgical procedures (whether or			
	not completed) initiated on one			
	patient intended for a different			
	patient.			
	patient.			
	Use of accepted patient identification			
	procedures is key to avoiding such events.			
	,			
(2) Foreign	RETAINED FOREIGN OBJECT:	Unintended retention of a foreign object	Unintentionally retained	998.4 (CC)
object	Additional Specifications: Includes medical	refers to a foreign object introduced	item: Foreign object	998.7 (CC)
retained after	or surgical items intentionally placed by	into the body during a surgical or other	introduced into the body	
surgery.	provider(s) that are unintentionally left in	invasive procedure, without removal	during a surgical operation or	
This event must	place.	prior to the end of the surgery or	another invasive procedure,	
be reported		procedure, which the surgeon or other	without removal prior to	
regardless of level of harm	Excludes:	practitioner did not intend to leave in	finishing the surgery or	
assessed.	a) objects present prior to surgery or	the body.	procedure. The surgeon or	
ussesseu.	other invasive procedure that are		other practitioner did not	
	intentionally left in place;	Surgery begins, regardless of setting, at	intend to leave the object in	
	b) objects intentionally implanted as part	point of surgical incision, tissue	the body.	
	of a planned intervention; and	puncture, or insertion of instrument		
	c) objects not present prior to	into tissues, cavities, or organs.		
	surgery/procedure that are intentionally left in when the risk of removal exceeds	Current and often all insisions or		
		Surgery ends after all incisions or procedural access routes have been		
	the risk of retention (such as microneedles, broken screws).	closed in their entirety, device(s) such as		
	inicioneedies, bioken sciews).	probes or instruments have been		
	Implementation Guidance: This event is	removed, and, if relevant, final surgical		
	intended to capture:	counts confirming accuracy of counts		
	occurrences of unintended	and resolving any discrepancies have		
	retention of objects at any point	concluded and the patient has been		
	after the surgery/procedure ends	taken from the operating/procedure		
	regardless of setting (post	room.		

	anesthesia recovery unit, surgical suite, emergency department, patient bedside) and regardless of whether the object is to be removed after discovery;			
	patient bedside) and regardless of whether the object is to be			
	whether the object is to be			
	removed after discovery;			
	<ul> <li>unintentionally retained objects</li> </ul>			
	(including such things as wound			
	packing material, sponges,			
	catheter tips, trocars, guide wires)			
	in all applicable settings.			
(3) Post-	Additional Specifications: Includes all ASA			
	Class I patient deaths in situations where			
•	anesthesia was administered; the planned			
	surgical procedure may or may not have			
Patient.	been carried out.			
١,	Immediately post-operative means within			
	24 hours after surgery or other invasive			
	procedure was completed or after			
	administration of anesthesia (if			
	surgery/procedure not completed).			
	, , , , , , , , , , , , , , , , , , ,			
	Implementation Guidance: This event is			
	intended to capture:			
	ASA Class I patient death			
	associated with the administration			
	of anesthesia whether or not the			
	planned surgical procedure was			
	carried out.			
(3) Texas Note	This PAE is applicable for any intraoperative o	or immediately post-operative/post-proced	 dure death of an ASA Class 1 nati	ent.

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
(4) Discharge	Implementation Guidance: The terms	Authorized means the guardian or other		
or release of a	"authorized" and "decision-making	individual(s) having the legally		
patient of any	capacity" are defined in the glossary.	recognized ability to consent on behalf		
age, who is		of a minor or incapacitated individual		
unable to	Release to "other than an authorized	(surrogate), or person designated by the		
make	person" includes removing the	surrogate to release or consent for the		
decisions, to	patient/resident without specific	patient.		
someone	notification and approval by staff, even			
other than an	when the person is otherwise authorized.	Decision-making capacity is the ability		
authorized	Examples of individuals who do not have	to understand information relevant to a		
person.	decision-making capacity include:	decision and the ability to appreciate		
This event must	newborns, minors, adults with	the reasonably foreseeable		
be reported	Alzheimer's.	consequences of a decision (or lack of a		
regardless of		decision).		
level of harm	Individual healthcare organizations or			
assessed.	other relevant jurisdictional authorities			
	may have specific requirements for			
	assessing decision-making Capacity.			
(5)Any	Implementation Guidance: This event is			
incident in	intended to capture:			
which systems	<ul> <li>events in which the line is</li> </ul>			
designated for	attached to a reservoir distant			
oxygen or	from the patient care unit or in a			
other gas to	tank near the patient such as E-			
be delivered	cylinders, anesthesia machines.			
to a patient				
contains no				
gas, wrong				
gas, or are				
contaminated				
by toxic				
substances.				

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
This event must be reported regardless of level of harm assessed.				
(6) Abduction of a patient of any age. This event must be reported regardless of level of harm assessed.	Implementation Guidance: This event is intended to capture:  • removal of a patient/resident, who does not have decision-making capacity, without specific notification and approval by staff even when the person is otherwise authorized to be away from the setting.  Examples of individuals who do not have decision-making capacity include: newborns, minors, adults with Alzheimer's.	Abduction means the taking away of a person by persuasion, by fraud, or by open force or violence. It includes convincing someone, particularly a minor or a woman he/she is better off leaving with the persuader, telling the person he/she is needed, or that the mother or father wants him/her to come with the abductor. (NQF Glossary)  Authorized means the guardian or other individual having the legally recognized ability to consent on behalf of a minor or incapacitated individual (surrogate), or person designated by the surrogate to release or consent for the patient. (NQF Glossary)  Decision-making capacity is the ability to understand information relevant to a decision and the ability to appreciate the reasonably foreseeable consequences of a decision (or lack of a decision).		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
(7) Sexual	Implementation Guidance: Language and	Sexual abuse is defined as the forcing of		
abuse or	definitions may vary based on state	unwanted sexual activity by one person		
assault of a	statute; however, the principle and intent	on another, as by the use of threats or		
patient within	remain regardless of language required	coercion or sexual activity that is		
or on the	based on jurisdiction.	deemed improper or harmful, as		
grounds of a		between an adult and a minor or with a		
health care		person of diminished mental capacity.		
facility.				
This event must				
be reported				
regardless of level of harm				
assessed.				
ussesseu.				
(8) Patient	Implementation Guidance: Language and			
death or	definitions may vary based on state			
severe harm	statute (e.g., many states have existing			
of a patient	statutes that use the terms "first degree			
resulting from	assault" or "second degree assault" or			
a physical	"battery").			
assault that				
occurs within				
or on the				
grounds of a				
health care				
facility.				
(9) Patient	Additional Specifications: Includes but is		For purposes of patient	Codes within
death or	not limited to fractures, head injuries, and		safety, a fall is a sudden,	these ranges
severe harm	intracranial hemorrhage.		unintended, uncontrolled,	on the
associated			downward displacement of a	CC/MCC list:
with a fall in a	Implementation Guidance: Of note, an		patient's body to the ground	800-829
health care	assessment that identifies patients at		or other object (e.g., onto a	830-839
facility	"risk" of fall, findings of risk accompanied		bed, chair, or bedside mat).	850-854

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
resulting in a fracture, dislocation, intracranial injury, crushing injury, burn or other injury.	by organizationally defined measures to be taken when risk is identified could be useful in both prevention and event analysis.		This definition includes unassisted falls and assisted falls and assisted falls (i.e., when a patient begins to fall and is assisted to the ground by another person).  (CF—Fall)	925-929 940-949 991-994
(9) Texas Note	This PAE is the combination of NQF's Serious patient death or severe harm associated wit events include any patient death or severe h Safety Network (TxHSN) provides choices de other) as denoted in the HAC.	h a fall is reportable. The HAC is not dependarm that is associated with a fall is reporta	dent on a level of harm. Texas I ble. The reporting system Texas	OSHS reportable Health Care
(10) Patient death or severe harm associated with unsafe administration of blood or blood products.	Implementation Guidance: Unsafe administration includes, but is not limited to, hemolytic reactions and administering: a) blood or blood products to the wrong patient; b) the wrong type; or c) blood or blood products that have been improperly stored or handled.  This event is not intended to capture:  • patient death or serious injury associated with organ rejection other than those attributable to a hyperacute hemolytic reaction  • patient death or injury when cause is not detectable by ABO/HLA matching.		Use this Common Format form to report any patient safety event or unsafe condition involving the processing and/or administration of blood or a blood product.  This CF form is not intended for reporting blood or blood product collection and other processes prior to receipt of the product by the blood bank.  (CF—Blood/Blood Product)	999.60 (CC) 999.61 (CC) 999.62 (CC) 999.63 (CC) 999.69 (CC)

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
(11) Patient	Additional Specifications: Includes events			
death or	where specimens are misidentified, where			
severe harm	another procedure cannot be done to			
resulting from	produce a specimen.			
the	Includes progression of an undiagnosed			
irretrievable	disease or threat of disease that changes			
loss of an	the patient's risk status for life, requiring			
irreplaceable biological	monitoring not needed before the event.			
specimen.	Implementation Guidance: This event is			
	not intended to capture:			
	<ul> <li>procedures where the specimen</li> </ul>			
	was properly handled, but the			
	specimen proved to be			
	nondiagnostic.			
	Inability to secure a replacement for a lost			
	specimen can occur with excisional biopsy			
	as well as in organ removal.			
(12) Patient	Additional Specifications: Includes events			
death or	where failure to report increased neonatal			
severe harm	bilirubin levels result in kernicterus.			
resulting from				
failure to	Implementation Guidance: Examples of			
follow up or	serious injury are a new diagnosis, or an			
communicate	advancing stage of an existing diagnosis			
laboratory,	(e.g., cancer).			
pathology or				
radiology test				
results.				
(12) Texas	The NQF A Implementation Guidance states	·		
Note	communication to the patient. Texas DSHS			ure to follow up
	or communicate includes failure to commun	icate to healthcare staff and/or the patient		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
(13) Patient	Implementation Guidance: The event is	Restraints is defined by The Joint		
death or	intended to capture:	Commission, the Centers for Medicare		
severe harm	<ul> <li>instances where physical restraints</li> </ul>	& Medicaid Services, and by some		
associated	are implicated in the death, e.g.,	states. The appropriate source(s) should		
with use of	lead to strangulation/entrapment,	be consulted for the definition required		
physical	etc.	by the setting and/or jurisdiction in		
restraints or		which a presumptive event occurs. In		
bedrails while		the event none of those definitions		
being cared		apply to an institution, the following		
for in a health		definition, which is intended to capture		
care facility.		definitions from the named		
		organizations, is offered: Restraints		
		means any method of restricting a		
		patient's freedom of movement that is		
		not a usual and customary part of a		
		medical diagnostic or treatment		
		procedure to which the patient or his or		
		her legal representative has consented;		
		is not indicated to treat the patient's		
		medical condition or symptoms; or does		
		not promote the patient's independent		
I		functioning.		
(14) Perinatal	MATERNAL:	Low-risk pregnancy refers to a woman	Use this Common Format	
death or	Additional Specifications: Includes events	aged 18-39, with no previous diagnosis	form to report any patient	
severe harm	that occur within 42 days post-delivery.	of essential hypertension, renal disease,	safety event associated with	
(maternal or	Excludes deaths from pulmonary or	collagen-vascular disease, liver disease,	the birthing process or	
neonatal)	amniotic fluid embolism, acute fatty liver	cardiovascular disease, placenta previa,	intrauterine procedures-that	
associated	of pregnancy, or cardiomyopathy.	multiple gestation, intrauterine growth	occur during the perinatal	
with labor or		retardation, smoking, pregnancy-	period to the mother,	
delivery in a	Implementation Guidance: This event is	induced hypertension, premature	fetus(es), or neonate(s). The	
low-risk	not intended to create a new obligation.	rupture of membranes, or other	perinatal period extends	
pregnancy	The organization's obligation, under this	previously documented condition that	from the 20th week of	

	NQF Appendix A	NQF Appendix B	AHRQ	CMS	
while being	event, is to report only maternal death or	poses a high risk of poor pregnancy	gestation through 4 weeks		
cared for in a	serious injury associated with labor or	outcome.	(28 days) postpartum.		
health care	delivery in a low risk pregnancy when				
facility.	made aware of the maternal death or		(CF—Perinatal)		
	serious injury either by readmittance or by	Neonate is a newborn less than 28 days			
	the patient's family.	of age. (NQF Glossary)			
(14) Texas	For Texas DSHS Preventable Adverse Event F	l Reporting:			
Note	or delivery that occurred in a general occurred.  ✓ For mothers who labored hospital, Texas PAE repo ✓ For mothers who began birth, PAE reporting woul  • Neonatal death or severe harm that	coccurs within 42 days postpartum and the all hospital, in a low risk pregnancy, is reported and delivered in a setting other than a general goes NOT apply.  Ilabor and delivery in another setting but is all apply if an event occurred.  Occurs to a newborn less than 28 days of a din a general hospital, in a low risk pregnance.	table by the facility where the lab neral hospital and then transferro transferred to a hospital prior to ge and the death or severe harm	ed into the the neonate's	
	reporting does NOT appl	tal and transferred into the hosp			
	For completion of the Perinatal PAE report:	vould apply if all event occurred.			
	<ul> <li>When reporting a perinatal event that affects the mother, enter the mother's demographics when creating the event.</li> <li>When reporting a perinatal event that affects the mother and neonate, enter the mother's demographics when creating the event.</li> </ul>				
		nat affects the neonate, enter the neonate's ne neonate, enter the demographics for the			
	For Texas DSHS Preventable Adverse Event F	Reporting, events involving a fetus(es) are n	not reportable.		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS			
	ADDITIONAL DEFINITIONS						
Associated with		Associated with means that it is reasonable to initially assume that the adverse event was due to the referenced course of care; further investigation and/or root cause analysis of the unplanned event may be needed to confirm or refute the presumed relationship.					
Contributing factor			A circumstance determined retrospectively to have increased the likelihood of the event and that is generally external to the patient. They frequently relate to the physical environment or to the care delivery.  (AHRQ App 2)				
Devices	Includes, but is not limited to, catheters, drains, and other specialized tubers, infusion pumps, ventilators, and procedural and monitoring equipment.  This event is intended to capture:  Occurrences whether or not the use is intended or described by the device manufactures' literature.	Medical device is an instrument, apparatus, implement, machine, contrivance, implant, in vitro reagent, or other similar or related article, including a component part, or accessory, which is recognized in the official national formulary, or the United States Pharmacopoeia, or any supplement to them; intended for use in the diagnosis	Medical device: a medical device is an instrument, apparatus, implement, machine implant, in vitro reagent, or other similar or related article, including a component part, or accessory, intended for use in the diagnosis of disease or				

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
		of disease or other conditions, or in the cure, mitigation, treatment, or prevention of disease, in man or other animals; or intended to affect the structure or any function of the body of man or other animals, and which does not achieve any of its primary intended purposes through chemical action within or on the body of man or other animals and which is not dependent upon being metabolized for the achievement of any of its primary intended purposes.	other conditions, or in the cure, mitigation, treatment, or prevention of disease, or intended to affect the structure or any function of the body, and which does not achieve any of its primary intended purposes through chemical action within or on the body and which is not dependent upon being metabolized for the achievement of its primary intended purposes (e.g., walker, hearing aid, and medical/surgical supply, including disposable product (e.g., incontinence supply)). (AHRQ App 2)	
Duration of Harm			The period over which disease, disability, disfigurement, dysfunction, etc. may be evident; often denoted as none, transient, temporary (short-term), or permanent (life-long). (AHRQ App 2)	

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
Handover/			The process when one health	
Handoff			care professional updates	
			another on the status of one	
			or more patients for the	
			purpose of taking over their	
			care. Typical examples	
			involve a physician who has	
			been on call overnight telling	
			an incoming physician about	
			patients she has admitted so	
			he can continue with their	
			ongoing management, know	
			what immediate issues to	
			watch out for, and so on.	
			Nurses similarly conduct a	
			handover at the end of their	
			shift, updating their	
			colleagues about the status	
			of the patients under their	
			care and tasks that need to	
			be performed. When the	
			outgoing nurses return for	
			their next duty period, they	
			will in turn receive new	
			updates during the change of	
			shift handover. In addition, it	
			is often used to refer to the	
			information transfer that	
			occurs from one clinical	
			setting to another (e.g., from	
			hospital to nursing home).	
			(AHRQ App 2)	

NQF Appendix A	NQF Appendix B	AHRQ	CMS
		Healthcare worker, including	
		nursing assistant, patient	
		transport/retrieval	
		personnel, assistant/orderly,	
		clerical/administrative	
		personnel,	
		interpreter/translator,	
		technical/laboratory	
		personnel, pastoral care	
		personnel, biomedical	
		engineer, housekeeping,	
		maintenance, patient care	
		assistant, or	
		administrator/manager.	
		(AHRQ App 2)	
		——————————————————————————————————————	
		·	
		_	
		Technology)	
	NQF Appendix A	NQF Appendix A NQF Appendix B	Healthcare worker, including nursing assistant, patient transport/retrieval personnel, assistant/orderly, clerical/administrative personnel, interpreter/translator, technical/laboratory personnel, pastoral care personnel, biomedical engineer, housekeeping, maintenance, patient care assistant, or administrator/manager.

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
Related to question "After any intervention to reduce harm, what was the degree of residual harm to the patient from the incident (and subsequent intervention)?			Death: Dead at time of assessment.  Severe harm: Bodily or psychological injury (including pain or disfigurement) that interferes significantly with functional ability or quality of life.  Moderate harm: Bodily or psychological injury adversely affecting functional ability or quality of life, but not at the level of severe harm.  Mild harm: Bodily or psychological injury resulting in minimal symptoms or loss of function, or injury limited to additional treatment, monitoring, and/or increased length of stay.  No harm: Event reached patient, but no harm was evident.  (CFPIF)	
Patient		Patient means a person who is a recipient of healthcare. A person becomes a patient at the point that they are being "cared for" in the facility. Being "cared for" begins when they are first engaged by a member of the care team, e.g. assessment by the triage nurse in the E.D., walking with the		

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
		phlebotomist to the lab for a lab draw. A patient is no longer considered a patient at the point that they are no longer under the care of a member of the care team, e.g. the nursing assistant has safely assisted the patient to the car from an inpatient stay; the ambulating patient that does not need assistance leaves the radiology department following an outpatient test.		
Principal diagnosis			The condition established after study to be chiefly responsible for occasioning the admission of the patient to the hospital.  (AHRQ App 2)	
Principal procedure			The procedure performed for definitive treatment rather than diagnostic or exploratory purposes, or which is necessary to take care of a complication.  (AHRQ App 2)	
Psychological injury			Harm or damage to a person's psyche, psychological functioning, or mental well-being. (AHRQ App 2)	

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
Reporter			Person in a health care organization who reports a patient safety concern; may (or may not) be the person who discovered the concern. (AHRQ App 2)	
Rescue Action			Action taken or started within the first 24 hours after the discovery of a patient safety incident that is intended to prevent, to minimize, or to reverse harm to the affected patient. (AHRQ App 2)	
Texas Note regarding Severe Harm / Serious Injury	NQFs Serious Reportable Events use the phr AHRQ's Common formats use the term "sev The Texas DSHS Preventable Adverse Event AHRQ's Common Formats. Therefore the Te In an attempt to reconcile this difference, the harm and serious injury are similar enough to	ere harm" for assessing the level of harm for Reporting program elected to be consistent exas Administrative Code, Chapter 200.7, usine Texas DSHS agrees with these definitions	t with AHRQ since the reporting reses the term "severe harm" in the from both NQF and AHRQ and fi	e list of PAEs.
Injury		Injury, as used in this report has a broad meaning. It includes physical or mental damage that substantially limits one or more of the major life activities of an individual in the short term, which may	Bodily Injury: Physical harm or damage to a person's body. (AHRQ App 2)	

	NQF Appendix A	NQF Appendix B	AHRQ	CMS
		become a disability if extended long term. Further, injury includes a substantial change in the patient's long-term risk status such that care or monitoring, based on accepted national standards, is required that was not required before the event. (Of note, states and other entities may use alternate definitions for the term "disability.")	Psychological injury: Harm or damage to a person's psyche, psychological functioning, or mental well-being. (AHRQ App 2)	
Harm			Harm: Physical or psychological injury (including increased anxiety), inconvenience (such as prolonged treatment), monetary loss, and/or social impact, etc. suffered by a person, (AHRQ App 2)	
Serious		Serious describes an event that can result in death, loss of a body part, disability, loss of bodily function, or require major intervention for correction (e.g., higher level of care, surgery).	Severe harm: Bodily or psychological injury (including pain or disfigurement) that interferes significantly with functional ability or quality of life. (CF-PIF)	

#### References

- National Quality Forum (NQF), Serious Reportable Events In Healthcare—2011 Update: A Consensus Report, Appendix A, Washington, DC: NQF; 2011. Copyright © 2014 National Quality Forum.
   <a href="http://www.qualityforum.org/Topics/SREs/Serious Reportable Events.aspx">http://www.qualityforum.org/Topics/SREs/Serious Reportable Events.aspx</a>
- 2. National Quality Forum (NQF), Serious Reportable Events In Healthcare—2011 Update: A Consensus Report, Appendix B Glossary, Washington, DC: NQF; 2011. Copyright © 2014 National Quality Forum.

  http://www.qualityforum.org/Topics/SREs/Serious Reportable Events.aspx
- 3. Agency for Healthcare Research and Quality (AHRQ), *Common Formats*, Washington, DC: NQF; 2012. https://www.psoppc.org/web/patientsafety/commonformats;jsessionid=014472943faece2104a6af29595c
- 4. Agency for Healthcare Research and Quality (AHRQ), AHRQ Common Formats Version 1.2, User's Guide, Appendix 2 Glossary, Washington, DC: NQF; 2012. <a href="https://www.psoppc.org/c/document\_library/get\_file?uuid=b8ce4ed7-aabd-49a7-b883-fd8a673ad7a5&groupId=10218">https://www.psoppc.org/c/document\_library/get\_file?uuid=b8ce4ed7-aabd-49a7-b883-fd8a673ad7a5&groupId=10218</a>
- 5. Centers for Medicare & Medicaid Services, FY 2013 Final HACs code list. Baltimore: Centers for Medicare & Medicaid Services, 2013. http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/HospitalAcqCond/Downloads/FY 2013 Final HACsCodeList.pdf